

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77339

Takuma YANAGISAWA, et al.

Appln. No.: 10/655,349

Group Art Unit: 2627

Confirmation No.: 6553

Examiner: Hindi, Nabil Z.

Filed: September 5, 2003

For: OBJECTIVE LENS AND OPTICAL PICKUP DEVICE

# REQUEST FOR ACKNOWLEDGEMENT OF INFORMATION DISCLOSURE STATEMENT and FORM PTO/SB/08 A & B

#### MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned respectfully requests that the Information Disclosure Statement filed on July 28, 2006, be considered by the Examiner. Attached for the Examiner's convenience is a copy of the Information Disclosure Statement, Form PTO/SB/08 A & B, and the date stamped filing receipt.

Please initialize Form PTO/SB/08 A & B and return the same to the undersigned.

Respectfully submitted,

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373
CUSTOMER NUMBER

Date: August 30, 2006

Grant K. Rowan

Registration No. 41,278



#### <u>FILING RECEIPT</u> PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re application of

Takuma YANAGISAWA, et al.

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## PAPER(S) FILED ENTITLED:

 Information Disclosure Statement (with a copy of Communication from a foreign patent office, references and PTO/SB/08 A & B (modified))

2. Statement Under 37 C.F.R. § 1.97(e)

SUGHRUE MION, PLLC

)

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373
CUSTOMER NUMBER

DOCKET NO.: Q77339

Group Art Unit: 2627

ATTORNEY/SEC: Grant K. Rowan/cdk

Date Filed: July 28, 2006





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Examiner: Hindi, Nabil Z.

Filed: September 5, 2003

For:

OBJECTIVE LENS AND OPTICAL PICKUP DEVICE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/655,349

prosecution in the application (whichever is earlier), and therefore Applicants are filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

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Respectfully submitted,

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Grant K. Rowan

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23373 CUSTOMER NUMBER

Date: July 28, 2006



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In re application of

Docket No: Q77339

Takuma YANAGISAWA, et al.

Appln. No.: 10/655,349

Group Art Unit: 2627

Confirmation No.: 6553

Examiner: Hindi, Nabil Z.

Filed: September 5, 2003

For:

OBJECTIVE LENS AND OPTICAL PICKUP DEVICE

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC

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washington office 23373

CUSTOMER NUMBER

Date: July 28, 2006

Grant K. Rowan

Registration No. 41,278

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

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	MODIFIED PTO/SB/08 A & B (08-03)			
Complete if Known				
Application Number	10/655,349			
Confirmation Number	6553			
Filing Date	September 5, 2003			
First Named Inventor	Takuma YANAGISAWA			
Art Unit	2627			
Examiner Name	Hindi, Nabil Z.			
Attorney Docket Number	077339			

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cité No. <sup>1</sup>	Document	Number	Publication Date		
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
-		US				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
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		NON PATENT LITERATURE DOCUMENTS			
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Examiner Signature	 Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.